

To: The Chief Justice
Mr. Justice Black
Mr. Justice Frankfurter
Mr. Justice Burton
Mr. Justice Clark ✓
Mr. Justice Harlan
Mr. Justice Brennan
Mr. Justice Whittaker

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From: Douglas, J.

SUPREME COURT OF THE UNITED STATES

Re: 11-20-57

No. 2.—OCTOBER TERM, 1957.

Oleta O'Connor Yates, Petitioner,
v.
United States of America. } On Writ of Certiorari
to the United States
Court of Appeals for
the Ninth Circuit.

[November —, 1957.]

MR. JUSTICE DOUGLAS, dissenting.

This case to me is a shocking instance of the abuse of judicial authority. It is without precedent in the books.

Mrs. Yates, not wanting to be an informer, refused on cross-examination to answer four questions concerning the Communist Party affiliations of any co-defendant who had rested his case or any other person who might be subject to persecution by such a disclosure.

For this, her *first* refusal, she was given her *first* sentence and confined in jail for 70 days.¹ On the third day of her cross-examination she was asked 11 more questions along the same line and, adhering to her original position, remained adamant in her refusal to answer. The district judge told Mrs. Yates that he intended to treat her refusals to answer as 11 separate criminal contempts, but indicated that he would defer action on the criminal contempt for the *second* refusal for the duration of the trial. The conviction for criminal contempt because of her *second* refusal to testify was affirmed by

¹ The trial judge was not through with Mrs. Yates. In his view, the *first* or "coercive" civil contempt order remained in effect so long as the conviction upon the trial of the main case was pending on appeal. The Court of Appeals ordered her released (*Yates v. United States*, 227 F. 2d 844) on the ground that confinement for civil contempt is not permissible after the termination of the trial.

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the Court of Appeals (227 F. 2d 851) and is now affirmed by this Court.²

First. One reason I would reverse is that this is a transparent attempt to multiply offenses. Mrs. Yates suffers two jail sentences for a single offense. This dual punishment is justified on the ground that the first sentence was civil contempt, while the second was criminal. The cases draw the line between civil contempt which is coercive and criminal contempt which is punishment. *Penfield Co. v. S. E. C.*, 330 U. S. 585; *Maggio v. Zeitz*, 333 U. S. 56; *McComb v. Jacksonville Paper Co.*, 336 U. S. 187. Yet when all this is said and the differences between civil and criminal contempt conceded, the fact remains that Mrs. Yates goes to jail twice for one offense. That one offense was her *first* refusal to answer. Because of the prosecutor's efforts to multiply the offense by continuing the line of questions, Mrs. Yates' *second* refusal to answer, following consistently the position she had made clear to the court upon the first day of her cross-examination, was not a contempt. *United States v. Costello*, 198 F. 2d 200, 204; *United States v. Orman*, 207 F. 2d 148, 160. Her second refusal to answer was a failure to purge³ herself of the first contempt, not a new one.

² Petitioner has not urged that this charge of criminal contempt should have been tried before some other judge. Cf. *Offutt v. United States*, 348 U. S. 11. Nor has petitioner contended that she was entitled to a jury trial on the charge of criminal contempt which the Court today sustains.

³ This is apparent from what transpired when Mrs. Yates appeared before the trial judge in this case:

"I had hoped by this time that Mrs. Yates might be willing to purge herself; that she might be prompted to do so.

"... as I view it, the court, in its discretion, might treat answers

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Second. Mrs. Yates might have been subjected to criminal penalties as well as civil coercion for the contempt she committed upon her *first* refusal to testify. See *Penfield Co. v. S. E. C.*, 330 U. S. 585; *United States v. United Mine Workers*, 330 U. S. 258; *Gompers v. Bucks Stove & Range Co.*, 221 U. S. 418. The district judge in fact attempted to impose a three-year criminal sentence for her *first* refusal to answer; but he was reversed by the Court of Appeals for his failure to give her the necessary notice during the pendency of the trial. *Yates v. United States*, 227 F. 2d 848.

What the Court now does is to make the present conviction do service for the invalid conviction for her *first* refusal to testify. This cannot be done unless we are to make a rule to fit this case only.

now to the questions as a vindication of judicial authority and treat it as purged.

"I take it from the defendant's statement that she is as adamant now as she was the day the questions were put.

"I hope Mrs. Yates will yet purge herself. I think, in offering to accept her answers now as a purge is a humane, merciful thing to do under the circumstances.

"I am not interested in imprisoning Mrs. Yates. I am interested in vindicating the authority of this court, which I feel must be vindicated when anyone wilfully refuses to obey a lawful order of the court.

"If she at any time within 60 days, while I have the authority to modify this sentence under the Rules, wishes to purge herself, I will be inclined even at that late date to accept her submission to the authority of the court."